



Prepared Under The Direction of the  
**Commissioners of Elections**

**Board of Elections in The City of New York**  
Executive Office, 32 Broadway, New York, NY 10004-1609  
[www.vote.nyc.ny.us](http://www.vote.nyc.ny.us)  
866-VOTE-NYC

**Board of Elections** | The City of New York

**Annual Report 2009**

**Frederic M. Umane**, President  
**Julie Dent**, Secretary

<b>Jose Miguel Araujo</b>	<b>James J. Sampel</b>
<b>Naomi Barrera</b>	<b>John Peter "JP" Sipp</b>
<b>Juan Carlos "JC" Polanco</b>	<b>Gregory C. Soumas</b>
<b>Nancy Mottola-Schacher</b>	<b>Judith Stupp</b>



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The Board is headed by ten Commissioners, two from each borough representing both major political parties for a term of four years approved by the New York City Council...

Board of Elections The City of New York

## Introduction

...A similar bipartisan arrangement of over 316 deputies, clerks and other personnel insures that no one party controls the Board of Elections. The Board appoints an executive staff consisting of an Executive Director, Deputy Executive Director and other senior staff managers charged with the responsibility to oversee the operations of the Board on a daily basis. Together, the executive and support staffs provide a wide range of electoral services to residents in Manhattan, The Bronx, Brooklyn, Queens and Staten Island.

The Commissioners of Elections meet every Tuesday at the Executive Office, in addition to the special hearings, legislative sessions and specific issue-oriented meetings (electronic voting, election legislation, labor management, rules and budget) during the calendar year.

# Commissioners of Elections in the City of New York

	BOROUGH	PARTY
JUDITH STUPP	Queens	Republican
JOSE MIGUEL ARAUJO	Queens	Democrat
JAMES J. SAMPEL	Staten Island	Democrat
JOHN PETER "JP" SIPP	Staten Island	Republican
NANCY MOTTOLA-SCHACHER	Brooklyn	Republican
JULIE DENT, SECRETARY	Brooklyn	Democrat
NAOMI BARRERA	Bronx	Democrat
JUAN CARLOS "JC" POLANCO	Bronx	Republican
GREGORY C. SOUMAS	Manhattan	Democrat
FREDERIC M. UMANE, PRESIDENT	Manhattan	Republican



From left to right (top row):  
Gregory C. Soumas, Naomi Barrera, Juan Carlos "JC" Polanco, Nancy Mottola-Schacher, John Peter "JP" Sipp, Judith Stupp

(bottom row):  
Jose Miguel Araujo, Julie Dent, Frederic M. Umane, James J. Sampel

# Mission Statement



The Board of Elections in the City of New York, as provided under Section 3-200 of the New York State Election Law, is responsible:

1. To conduct fair and honest elections, from local to federal levels;
2. To enfranchise all eligible New Yorkers to register to vote and to practice those rights;
3. To conduct elections, certify the canvass and to retain the official records;
4. Voter outreach and education.

Briefly stated, this means:

**First**, the preparation of the ballot for Primary, Special and General Elections to the extent that all vacancies for public office and party positions may be filled.

**Second**, that qualified voters may exercise their right to franchise and that every opportunity be given to voters to execute that right and to vote for whom they choose.

**Third**, that the votes of the electorate at Primary, Special and General Elections be properly canvassed and that a true count be given for each candidate voted for.

**Finally**, that we make every effort to inform and educate the voting public of their rights as a voter and also to reach out to all Americans to instruct them in the voting process.

The following pages of this report will illustrate some of the duties as classified in the above synopsis.

# President's Message



**Frederic M. Umane**  
President

**I wish to thank** the people of the City of New York for allowing me to serve as one of the ten Commissioners of the Board of Elections in the City of New York. During 2009, I had the opportunity to serve, as President, and with Commissioner Julie Dent, who served as Secretary, to act as the bi-partisan Executive Committee of the Board.

During 2009, the Board conducted three city-wide election events in connection with the selection of New York City Mayor, Comptroller and Public Advocate. The Primary occurred on September 15, 2009, the Run-off Primary was held only two weeks later on September 29, 2009 and the General Election on November 3, 2009. The General Election determined the composition of the entire New York City Council, as well as the City's top three elected officials. Many other important positions such as District Attorney and Judgeships were also selected in the Primary Election and filled in the General Election.

In addition, the New York City Board of Elections conducted three special election events involving three Queens City Counsel races, the Bronx Borough President and two Bronx and one Queens Assembly seats.

The people directly involved in running these election events are dedicated public servants who work tirelessly year round to deliver the free, open and fair elections for the people of our city. The Board expands on election days from about 350 full time employees to 35,000 citizen poll site workers who for little pay and long hours help preserve our Democracy at its most basic level.

During this busy year, New York City took all the steps to select a new voting system which will be utilized for many years to come. We are bidding farewell to the old reliable mechanical voting machines used since the 1960's. Next year we will be implementing the new optical scanners which will read voter marked paper ballots.

Our major concern is funding for our agency. Our employees are paid about a third less than employees at comparable New York City agencies. Furthermore, we are structurally underfunded every single year. For 2009, no budgetary allocation was made for the Runoff Primary or any of the Special Elections that came up during the year. In addition, the current budget drastically cut the bone and sinew of our agency, making our difficult job impossible. This is especially true in a year where we need to implement an entire new voting system and educate the voters and 35,000 poll workers about the upcoming changes.

On behalf of the Commissioners, I want to thank the Executive Management, employees and poll site personnel for their exemplary work in conducting this year's election events. All of the Commissioners are looking forward to a busy and historic 2010.

## **GREGORY C. SOUMAS ESQ.,** Commissioner

Gregory Soumas is the New York City Board of Elections Democratic representative from Manhattan and was appointed by the New York City Council in 2005.

Mr. Soumas graduated from Syracuse University with a bachelor of arts degree in political science and earned a juris doctor degree from Suffolk University Law School in Boston, MA.

A native New Yorker, Mr. Soumas was born in Manhattan and raised in the Riverdale section of the Bronx. He currently lives on the Upper West Side of Manhattan with his wife, Robin. He has been a Democratic Party district leader since he was first elected in 2002.



## Manhattan

## **FREDERIC M. UMANE ESQ.,** President

Frederic M. Umane has served as a commissioner of the New York City Board of Elections since 1995. A Republican from Manhattan, he was president of the Board during the 2005 mayoral election and has been active in local politics for more than twenty years.

An attorney, Mr. Umane is a partner at Zeichner Ellman and Krause and heads the firm's transactional banking, corporate and real estate group. He has substantial experience in bank regulatory matters and issues involving mortgage fraud, automobile and hotel finances.

Mr. Umane is admitted to the practice of law in New York State and the federal courts. He is an active member of the American Bar Association as well as the Associations of the Bar of the City of New York and New York State.

He received his J.D. from Albany Law School and his B.A. from Union University. Mr. Umame is married to Susan Umane and they have two children, Ryan and Bradley.





**NAOMI BARRERA ESQ.,** Commissioner

Naomi Barrera is a graduate of Brooklyn Law School (*J.D. 2002*) and SUNY Purchase (*B.A. in Political Science, 1998*). She is admitted to practice in the State of New York and the Southern and Eastern Districts of the United States District Courts of New York.

Shortly after admission to the New York State Bar in April 2003, Mrs. Barrera opened the law offices of Johnson & Silie, LLP, along with a business partner. Her primary area of practice was residential real estate. In October 2006, Mrs. Barrera launched the law offices of Naomi Silie, P.C., where she continued handling real estate transactions as a solo-practitioner.

On December 18, 2008, Mrs. Barrera was appointed by the New York City Council to serve a four-year term as the Bronx Democratic Commissioner for the New York City Board of Elections.

**JAMES J. SAMPEL ESQ.,** Commissioner

James J. Sampel is the Staten Island Democratic representative and was appointed by the New York City Council in 2005.

An attorney, Mr. Sampel opened his own firm, Sampel & Nalley, Esqs., in 1987 where he focuses on civil, criminal and matrimonial law.

After Mr. Sampel graduated from high school in 1957, he joined the U.S. Army where he served in Germany for two years. He was honorably discharged in 1960.

Mr. Sampel spent three decades in the New York City Police Department. After rising to the rank of detective in the Organized Crime Control Bureau, he attended college and law school. In 1979, he was assigned as a prosecutor in the Police Department's Advocates' Office and ended his service in the Staten Island Detective Area – Crimes Against Property Squad.

Mr. Sampel graduated magna cum laude from City University of New York, John Jay College of Criminal Justice and earned his J.D. from Fordham University School of Law. He is admitted to the practice of law in New York State and the federal courts. He is married to Mel Chamberlain and has two children, Michael and Allison, and is the proud grandfather to Matthew, Taylor and Steven.



## Bronx



**JUAN CARLOS "JC" POLANCO ESQ., MBA,** Commissioner

J.C. (Juan Carlos) Polanco is the New York City Board of Elections Republican representative from Bronx County and was appointed by the New York City Council in 2007.

Mr. Polanco presently serves as director of the New York City Regional Office for State Assembly Minority Leader James N. Tedisco. He is the principal of Polanco Law, PLLC and is licensed to practice law in the states of New York and New Jersey. He is a real estate broker for the Polanco Organization, LLC. In addition, Mr. Polanco is an adjunct professor at Boricua College and CUNY/Borough of Manhattan Community College, where he specializes in ethnic studies, history and political science.

Previously, Mr. Polanco spent three years teaching social studies at Harry S. Truman High School in the Bronx. He earned his bachelor's degree in History / Political Science from The State University of New York. In 2005, as a night student, he completed a Juris Doctor and a master of business administration degree at Fordham University.

A member of the New York Republican State Committee, Mr. Polanco serves as executive district leader for the 80th Assembly District and is a vice president of the GOP in Bronx County.

Mr. Polanco lives with his wife, Sandra, and their two children, Savannah and Sebastian, in the Morris Park section of the Bronx.

**JOHN PETER "JP" SIPP JR. ESQ.,** Commissioner

John Peter Sipp Jr. is the Staten Island Republican representative and was appointed by the New York City Council in 2009.

At the start of his career as an attorney, Mr. Sipp managed personal injury matters from inception through trial and tried more than 20 cases to verdict. In 2001, he joined his father and brother as a partner at The Sipp Law Firm where he managed cases, including contract, estate, personal injury and environmental litigation. Mr. Sipp was appointed to serve as Guardian, Court Evaluator and attorney for Alleged Incapacitated Persons in numerous Article 81 matters in both Richmond and Kings Counties.

Mr. Sipp is a graduate of Fordham University and Quinnipiac University Law School and is a native of Staten Island. He lives in West Brighton with his wife and two daughters.



## Staten Island



**NANCY MOTTOLA-SCHACHER ESQ.,** Commissioner

Nancy Mottola-Schacher is the New York City Board of Elections Republican representative from Kings County and was appointed by the New York City Council in 2001.

An attorney, Ms. Mottola-Schacher has served as the law judge for the New York State Workers' Compensation Board. She was the law secretary for Judge Joseph Soviero and served as the senior attorney for the New York State Mental Health Information Service, second Judicial Department.

Ms. Mottola-Schacher earned her B.A. from St. John's University and her J.D. from St. John's Law School.

She has been the president of the Brooklyn Women's Bar Association and was named the Kings County "Republican of the Year for Community Service" by the Brownstone Republican Club. Ms. Mottola-Schacher has been honored with the national achievement A.M.I.T.A. award as the most distinguished American woman of Italian descent in the field of law.

She was also named Republican of the year by the Kings County Republican party in 2001.

**JUDITH STUPP,** Commissioner

Judith Dolan Stupp, a native of Albany, New York, has served the NYC Board of Elections as a Commissioner since October 2008. She was recently elected to the Legislative Committee of the *Elections Commissioners Association of New York State*. Judy devoted the bulk of her career to the New York State Senate, where she served four Senate Majority Leaders as Manager of Downstate Operations, based in Manhattan. Previously, she was a Legislative Aide to Senator Roy M. Goodman, then Chairman of the Committee on Investigations.

Prior to her marriage and move to New York City, Judy was the Committee Clerk of the Senate Committee on Mental Hygiene, then chaired by Senator Frank Padavan. A graduate of St. John's University, Judy is active in her Queens community, and has been a *NYC Learning Leader* in the public school system.

Married to former NYC Aging Commissioner Herbert W. Stupp, Judy is the mother of two children, Matthew and Catherine.



## Brooklyn



**JULIE DENT,** Secretary

Julie Dent was appointed to the New York City Board of Elections in July 2007 as the Democratic commissioner representing Kings County.

A community activist, counselor and teacher, Ms. Dent is the executive and educational director of the Audrey Johnson DayCare center and chairs the local Community Board where the preschool is located. She is a former Executive Board member of School Board District 32, the former vice chairperson on the Advisory Board of Woodhull Hospital and she has collaborated with the New York City Police Department and Citizen Committee of New York to engage youth in responding to the needs of the elderly in the community.

Ms. Dent is listed in *Who's Who in American Education* and *Who's Who of American Women*. She has been honored by the Professional Association of Day Care Directors, the New York City Council and the Federation of Protestant Welfare, Inc, NY State Senate, NY State Assembly, Brooklyn District Attorney, Former Mayor Giuliani and current Mayor Michael Bloomberg. She is also the recipient of the 2007 National Association of Childcare Professionals.

Ms. Dent has earned an M.S. degree with honors in education from City College of New York. She and received her bachelor's degree from Empire State College in Saratoga Springs, NY and associate's degree from the Borough of Manhattan Community College.

## Queens

**JOSE MIGUEL ARAUJO,** Commissioner

Jose Miguel Araujo was appointed to the New York City Board of Elections in 2008 and serves as the Democrat representative from Queens.

A Democrat, Mr. Araujo graduated from John Jay College of Criminal Justice with a Bachelor of Science Degree in Criminal Justice. He went on to receive his Juris Doctorate from the City University of New York School of Law and is a practicing attorney in the areas concerning Criminal Guardianship/Article 81 of the Mental Hygiene Law, Wills and Estate and Real Estate.

As a member of the Board's Public Education Subcommittee, he was integral in the implementation of the citywide public education campaign intended to inform New Yorkers of new changes to the voting system resulting from the Congressional Help America Vote Act.

Mr. Araujo and his wife Rita live in Queens with their four sons Justen, Joel, Ricardo and Ariel.



## Executive Management



**MARCUS CEDERQVIST**  
Executive Director



**GEORGE GONZALEZ**  
Deputy Executive Director



**PAMELA GREEN PERKINS**  
Administrative Manager

## Senior Staff

**TROY JOHNSON**  
Coordinator of Candidate Records Unit

**VALERIE VAZQUEZ-RIVERA**  
Director of Communications & Public Affairs

**BETH FOSSELLA**  
Coordinator of Voter Registration Activities

**ROSANNA KOSTAMOULAS RAHMOUNI**  
Coordinator of Election Day Operations

**JOHN P. O'GRADY**  
Chief Voting Machine Technician

**NICHOLAS SQUICCIARINI**  
Facilities Manager

**STEWART LIBERMAN**  
Agency Chief Contracting Officer

**LUCILLE GRIMALDI**  
Director of Electronic Voting Systems

**DOROTHY DELAYO**  
Director of Personnel/Records Management Officer

**JOHN WARD**  
Finance Officer

**STEVEN H. RICHMAN**  
General Counsel

**STEVEN FERGUSON**  
Director of Management Information Services

**CHARLES WEBB, III, STEVEN DENKBERG**  
Counsels to the Commissioners

## Borough Offices

### MANHATTAN

200 Varick Street, New York, NY 10014  
(212) 886-2100

**GREG LEHMAN**  
Chief Clerk

**TIMOTHY GAY**  
Deputy Chief Clerk



### BRONX

1780 Grand Concourse, Bronx, NY 10457  
(718) 299-9017

**DAWN SANDOW**  
Deputy Chief Clerk

**ANNA TORRES**  
Deputy Chief Clerk

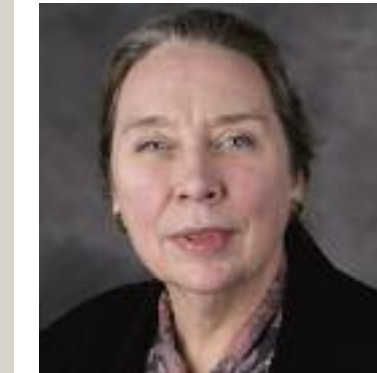


### BROOKLYN

345 Adams Street, Brooklyn, NY 11201  
(718) 797-8800

**DIANE HASLETT-RUDIANO**  
Chief Clerk

**MARYROSE SATTIE**  
Deputy Chief Clerk



## QUEENS

126-06 Queens Blvd, Kew Gardens, NY 11415  
(718) 730-6730

**BARBARA CONACCHIO**  
Chief Clerk

**KATHERINE JAMES**  
Deputy Chief Clerk



## STATEN ISLAND

1 Edgewater Plaza, 4th Fl., Staten Island, NY 10305  
(718) 876-0079

**SHEILA DELGIORNO**  
Chief Clerk

**ANTHONY ANDRIULLI**  
Deputy Chief Clerk



# Candidate Records Unit



**TROY JOHNSON**  
Coordinator, Candidate Records Unit

The Candidate Records Unit (CRU) of the Board of Elections in the City of New York is responsible for the receiving, recording, processing and tracking of all candidate and objector document filings as well as ballot production for Primary, General and Special Election Events.

The CRU staff maintains accurate candidate status on all these items in order to ensure an accurate ballot. The staff prepares, reviews, updates and corrects errors identified on all proofs of voting machine and paper (absentee, military, Ballot Marking Device, and standby emergency) ballots, working closely with ballot printing vendors.

The unit is also responsible for receiving, recording and monitoring candidate compliance documents as part of the Campaign Financial Disclosure requirements. CRU received 2,081 financial disclosure reports from various candidates, political party committees and political action committees in 2009. CRU staff makes courtesy telephone calls and sends non-compliance notices to treasurers by USPS Certified Mail as well as notifying non-compliant candidates by regular First Class Mail. Non-compliant candidates and committees are reported to the Commissioners of Elections, who instruct the Agency Enforcement Officer to begin legal proceedings against those in violation.

### 2009 DESIGNATING AND INDEPENDENT NOMINATING PETITIONS RECEIVED

	Designating	Independent
Petitions Received	1,770	222
Challenged	532	63
Specifications Filed	182	20
Found Invalid by Board	703	43





**VALERIE VAZQUEZ-RIVERA**  
Director of Communications and Public Affairs

## MEDIA/PRESS

The Communications Department works closely with the working press, radio and television stations to assist them with any information they need pertaining to election, candidate information and other election related subjects. This year, we continued the dissemination of information by distributing information kits to members of the press and any other interested groups that requested them. These kits contained a poll site list and any pertinent press releases.

## OUTREACH

The Outreach Team, comprised of staff from each of the boroughs, continued its agenda of visiting various organizations and community groups that request our presence. We visited public and private school providing school auditorium programs and individual classroom instruction, neighborhood block associations, health fairs and local civic organizations.

## LEGAL NOTICES

In accordance with New York State election law, legal notices were placed in newspapers one week before the election. These ads contained the names, addresses and political party affiliation of each candidate running in every election throughout the city. Ads were also placed the day before the election and the day of the election that contained the date of election as well as the hours that the polls are open. We also placed a full page ad informing the public of the availability of the Ballot Marking Device at their respective Poll Site.

## POLL SITE VOTING SYSTEM VENDOR DEMOS - DECEMBER 2009

Note: These demos were conducted in December 2009 upon request by the Commissioners as part of the PVS Vendor Selection process. BOE Management/Staff, Commissioners, and Vendor Representatives are not counted in participant totals.

Location	Date	Attendees
<b>Brooklyn</b> – Brooklyn Borough Office	12/16/09	23
<b>Queens</b> – Queens Borough Office	12/17/09	34
<b>Staten Island</b> – Staten Island Borough Office	12/17/09	15
<b>Manhattan</b> – Manhattan Borough Office	12/21/09	45
<b>Bronx</b> – Bronx Borough Office	12/22/09	6
	<b>Total</b>	<b>123</b>



**BETH FOSSELLA**  
Coordinator, Voter Registration

As the Coordinator of the Voter Registration Department, I am, along with my staff, responsible for all activities that pertain to registering people to vote in the five boroughs of New York. This includes:

- Overseeing the Boroughs' responsibility to maintain the records of all registered voters. The total number of registered voters in the City of New York for 2009 according to the New York State Board of Elections Data Base is 4,462,657. These figures are run twice a year on March 1st and November 1st of each year. The MIS Department runs a report every month and the total number of registered voters from January 1, 2009 to December 2009 was 4,418,981. This includes both active and inactive voters. These numbers are provided from the MIS Department, starting with January 1, 2008 to December 2008.
- Reaching out to all eligible voters in the City of New York and making them aware of the importance of being a registered voter and how much their vote counts. This is accomplished thru:
  1. conducting registration drive at various community activities (street fairs, work fairs, community organization meetings, senior citizen community centers, etc.)
  2. visiting the high schools within the city informing students who are going to be eligible to vote, how important it is to be a registered voter
  3. distribution of voter registration material to the public. It is to be noted that all voter registration material is translated, in accordance to law, in the four languages – English, Spanish, Chinese and Korean.
- Providing and making sure that all registration material is updated in accordance with New York State Law.
- Sorting all registration applications according to Borough, time stamp all registration applications that are filled out by potential voters according to the code which they fall under, keep a tracking of the coding of the registration application, and then distribute to the borough office.
- In 2002, Congress passed and the President of the United States signed into law the "Help America Vote Act" (HAVA). This was the means by which the federal government committed to fund sweeping election reforms which would enhance the voting process in this country. Previously, a potential voter was not asked to provide a means of identification when filling out a registration application. Once HAVA took effect,





**ROSANNA KOSTAMOULAS RAHMOUNI**  
Coordinator, Election Day Operations

## POLL WORKER RECRUITMENT AND OUTREACH

This department is charged with the responsibility for the recruiting, training, placing and tracking the performance of approximately 127,043 individuals who “man” the polls and serve voters on Election Day. There are several different Poll Worker positions varying in qualifications and degrees of responsibility:

**COORDINATORS** are placed in polling sites with multiple Election Districts (EDs) and serve as the managers for the poll site to troubleshoot any problems and ensure that voters receive courteous, prompt and proper service.

**INFORMATION CLERKS** are placed at sites with multiple EDs to help direct and guide voters to their correct voting districts and/ poll sites by using street directories and poll site lists.

**DOOR CLERKS** are assigned to polling places with alternate handicapped accessible entrances where the doors cannot remain open or assistance is required.

**INSPECTORS** and **POLL CLERKS** are the backbone of the Board’s Election Day workforce. There are four INSPECTORS at each Election District or board, two enrolled Democrats and two Republicans – a system of “checks and balances” that is required by New York State Election Law. POLL CLERKS are similarly divided and are mainly used to help speed along the voting process in EDs where there are more than 750 registered voters. The poll clerks collect the cards from the voters and adjust the party lever on the side of the voting machine to activate the proper Primary ballot that reflects the political party enrollment of the voter. These individuals may also stand in for Inspectors when there are vacancies. If a voter is in need of assistance on the BMD, they assist the voter.

**INTERPRETERS** assist voters with limited English proficiency at poll sites in various neighborhoods in New York City and are a major component of the Board’s Language Assistance Program. The Board provides Spanish, Chinese (Cantonese/Mandarin) and Korean Interpreters in targeted areas in conjunction with the plan based on recent census data.

**A.D. POLL SITE MONITORS** are a group of specially trained Poll Workers that monitor an Assembly District on Election Day. They are rapidly dispatched to address and correct any problems at poll sites within their districts.

While the Coordinators, Inspectors and Poll Clerks are required by law to be both a citizen and registered voter, the Information Clerks, Interpreters and Door Clerks do not have such a requirement. County Committee applicants make up a great portion of the total complement needed to staff the polls but thousands of positions still must be filled. To this end the Board trains and assigns poll workers recruited from various sources,

including the City University of New York and numerous civic and advocacy groups. Poll worker applicants also submit forms obtained from the Board’s phone bank, web site and the Borough Offices or by indicating interest in serving when completing their voter registration applications.

## POLL WORKER TRAINING AND INITIATIVES

During 2009, eighty four Adjunct Trainers conducted Poll Worker training by conducting 1,927 classes at 70 sites throughout New York City. These four hour classes train the poll workers to use the Poll Worker Manual, visual aids, various voter information forms and materials used in elections. Also included in the training session in 2009 in addition to a demonstration of the mechanical voting machine was a demonstration of the AutoMARK ballot marking device. In total, the Board trained 34,864 poll workers in 2009. We trained 6,567 poll workers to assist voters on the BMDs in addition to their myriad of other responsibilities on Election Day. We also produced laminated materials on using the BMD that were available at all poll sites.

The Poll Worker Manual contains a section concerning diversity and incorporates the customer service training from the poll worker classes. The Board also provided VOTE-NOTES, a quick reference tool the Poll Workers use on Election Day. The Board’s poll worker training video is accessible on our web site, enabling poll workers to log on and brush up on procedures before an election. Poll site signage provides voter information and assistance in all four mandated languages. These multi-language materials include the “How To Use The Voting Machine” stickers located in the voting machines, laminated handouts and the NYS Voters Bill of Rights.

## 2009 POLL WORKER COVERAGE ANALYSIS

Poll Worker Positions	Queens & S.I. Special Council Election	Bronx Borough President Special Election	Bronx Member of Assembly Special Election	Queens Member of Assembly Special Election	Primary Election	Runoff Election	General Election
A.D. Monitors	20	43	8	0	260	255	259
Coordinators	70	332	53	5	1,567	1,551	1,641
Inspectors	998	2,697	475	119	20,866	19,843	21,082
Poll Clerks	75	115	19	3	1,903	1,523	3,466
Information Clerks	83	124	20	2	2,052	1,656	2,019
Door Clerks	99	97	14	1	1,465	1,311	1,412
Spanish Interpreter	69	90	19	2	1,057	915	993
Chinese Interpreter	42	N/A	N/A	1	705	615	696
Korean Interpreter	5	N/A	N/A	0	120	114	140

## NURSING HOMES PROGRAM

The Board of Elections provides special services to Nursing Care Residential Facilities and their occupants. During the 2009 Specials (4), Primary, Runoff and General Elections, Board staff made 357 visits to Nursing Home facilities and delivered 18,053 absentee ballots to residents of these facilities.

## 2009 ELECTIONS

There were 127,043 positions established for the Special Queens Council District Election, Special Bronx Borough President Election, Special Bronx & Queens Member of Assembly Election, Primary Election, Run-off Primary Election, and General Election. There were 122,320 applicants who were assigned and worked one of these elections. There were also 4,202 standby poll workers that were dispatched and worked during the 2009 elections.

## EDO HAVA DEPARTMENT

This department helps to educate voters, Adjunct Trainers & Poll Workers on the BMD. These staff members organized and performed numerous demonstrations of the BMD throughout the year in all five boroughs of New York City. They also created a “BMD Poll Clerk Guide” for the Poll Workers and a similar voter guide. They made an instructional video for the Poll Workers on the set-up, opening, closing and trouble shooting on the BMD. They were instrumental in training and testing both the Adjunct Trainers and the Election Day stand-by poll workers on the BMD. They trained numerous Poll Workers at the “BMD Learning Center,” training them “one on one.”

# Voting Equipment Operations Department



**JOHN P. O'GRADY**  
Chief Voting Machine Technician

In 2009 the Shoup 3.2 Mechanical Voting were used for three major events Primary, Run-Off Primary and General Elections. There were 19,457 machines deployed during these elections and over 1,700,000 votes cast. There were only 22 machines that were replaced and only 5.5% of the machines required mechanical repairs during the Primary, Run-Off Primary and General Elections.

In 1963 the City of New York began using the Shoup 3.2 Mechanical Voting Machine. Over the last half century over 100 million votes were cast on the Shoup 3.2 Mechanical Voting Machine. At the end of 2009, 7,700 Shoup 3.2 Mechanical Voting Machines were packed up and relocated to storage to make way for the new Poll Site Voting System.

Our Fleet Management system continues to be enhanced to more efficiently record all Election Day trouble calls; dispatches staff and record the resolutions of all calls. During 2009 over 1,900 Election Day calls were recorded. The Board continued to improve its response time for Election Day trouble calls.

The Ballot Marking Devices were prepared and deployed, one per poll site (1,349) for the Primary, Primary Run-Off and General Elections. This year the Board of Election technicians were responsible for setting up, testing and deploying two different methods of voting (mechanical voting machine and ballot marking devices).

Question:	Specials	Primary	Run-Off Primary	General
Overall equipment failure rate on election day %	1,451 Machines deployed 3.9% requested attendance 83 machine related calls - 57 where necessary	6,108 Machines deployed 4.3% requested attendance 560 machine related calls - 265 where necessary	6,108 Machines deployed 3.2% requested attendance 285 machine related calls - 193 where necessary	7,241 Machines deployed 8.5% requested attendance 1,061 machine related calls - 618 where necessary
Polling Site Complaints				
Regarding lack of access or waiting time	353 Pollsites used 1 opened late average delay 15 mins	1,355 Pollsites used 25 opened late average delay 34 mins	1,355 Pollsites used 8 opened late average delay 30 mins	1,355 Pollsites used 5 opened late average delay 38 mins
All other polling place complaints	110 pollsite related calls	147 pollsite related calls	81 pollsite related calls	107 pollsite related calls
Other Complaints	N/A	N/A	N/A	N/A
Number of poll sites open on time %	99.99%	99.26%	99.95%	99.97%
Number of poll sites with BMD used on Election Day	65	1,405	1,398	1,441
Number of complaints regarding BMDs	367	251	222	163

# Facilities Operations

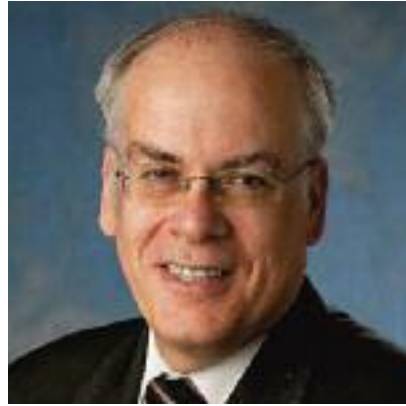


**NICHOLAS SQUICCIARINI**  
Facilities Manager

In 2009, the Board acquired a new facility in Brooklyn 5112 2nd Avenue, to be the home of the new voting machines for Brooklyn, and space in 4312 2nd Avenue, for storage of the old lever machines for Manhattan, Bronx, and Queens.

Brooklyn's old lever machines will remain at 645 Clinton Street, the current voting machine facility for Brooklyn, along with Staten Island's old lever machines. The remaining facilities 450 West 33rd (Manhattan VMF), 66-26 Metropolitan Avenue (Queens VMF), and 1780 Grand Concourse (Bronx VMF) will receive renovations, air conditioning, electric, infrastructure upgrades to be ready to receive the new voting machines in 2010.

# Procurement Department



**STEWART LIBERMAN**  
Agency Chief Contracting Officer, CPPB

The Procurement Department function is to oversee and process all of the Board of Election contracts for goods and services to ensure compliance with the New York City Procurement Policy Board Rules (known as the PPB).

The PPB was developed to ensure that all city agencies' procurement practices are uniform in application; and provide fair and equitable treatment to all persons doing business with the city.

## **VENDOR DATABASE**

The Board continues to expand its database of vendors. The board continues to investigate ways to increase competition for goods and services and cost savings for the agency. The Board also avails itself of the many State OGS contracts where cost savings can be maximized.

## **VENDEX CONTRACTOR PERFORMANCE EVALUATIONS**

Board of Elections' contracts are evaluated annually and several are reviewed twice a year. The evaluation provides positive response to vendors, and helps the Board quickly identify vendors that did not fulfill their contractual responsibilities.

## **MONITORING CONTRACTORS**

Since the inception of this program, the Board's contractors' damages to Board-owned equipment continues to decrease significantly. This monitoring continues to save the Board money and improves the overall quality of service from contractors.

## **FINANCIAL MANAGEMENT SYSTEM FMS PROCUREMENT PROJECT**

The department actively embraced the new FMS 3 system, which was rolled out January 2010 with many new and enhanced capabilities. All the department staff has gone through training and will continue to train as other changes and enhancements are created.

# Electronic Voting Systems Department



**LUCILLE GRIMALDI**  
Director, Electronic Voting Systems

The Electronic Voting Systems Department (EVS) is responsible for technical support for the Board's computerized voting systems. These currently include the central scanning and tabulation system for affidavit, emergency and absentee ballots and the management system for ballot marking devices. EVS prepares these systems for an election by populating them with an election's specific parameters and ballot elements. EVS also prepares tests and coordinates the canvass functions related to these systems.

The affidavit, emergency and absentee ballot central scanning system, the Sequoia TeamWork system, has been in use since its introduction in 2000 and was used in every election conducted in 2009 – three Special Elections in the Spring and then the Primary Election, a Special Election, the Primary Runoff Election, and the General Election in the Fall. In addition, the ES&S AutoMARK Ballot Marking Device (BMD) system introduced in the Fall of 2008 continued to be used to configure BMDs for every poll site in the 2009 elections.

For all of the Elections in 2009 combined, just over 1.9 million ballots were cast. Almost 70,000 of these were absentee, affidavit and emergency ballots that were processed by the central scanning system. About 3,000 were BMD ballots although not all of these had been marked by a BMD.

In addition to administering the central scanning system and BMD system, the EVS Department continued to play a central role in the form of project management and overall development and coordination efforts for the transition to the planned citywide pollsite scanning system.

By March of 2009, the Board's Evaluation Team had completed their evaluation of the two systems submitted for New York State Board of Elections certification. Their evaluation report was submitted to the Commissioners. Also in March, SysTest Labs, the company performing certification testing on the two systems, received re-accreditation and resumed certification testing of the proposed systems. The Commissioners decided that they would wait for certification before they selected the new system.

Two members of the EVS Department served on the Evaluation Team. In addition, members of the EVS Department worked on design and development tasks for an anticipated pollsite scanning system, so that principles and procedures developed generically could be tailored and applied to whichever system Commissioners would select after State certification. This design and development work was carried out through the structure of approximately twenty Workstream Groups covering work areas such as *Election Management System (EMS) Development, Pollsite Voting System Development, Election Day Procedures Development, Security Development, Pollworker Training Development, Voting Machine Facilities Readiness, Poll Worker Recruitment, Public Information and Education, Ballot Definition and Proofing, Canvassing of the Ballots* and many more. Members of the EVS Department also worked with a group that developed a detailed project schedule in preparation for implementation in 2010.

The New York State Board of Elections certified two new pollsite ballot scanning systems and a ballot marking device on December 15, 2009. The Commissioners are expected to choose the system for the Board of Elections in the City of New York in January of 2010.

# Personnel and Records Management



**DOROTHY DELAYO**  
Director of Personnel/  
Records Management Officer

The Director of Personnel processes all new employees upon their appointment. In addition to various documents, the Director of Personnel advises all new employees of their responsibilities and requirements. Picture identification cards are issued to all employees during their orientation.

The Director of Personnel is directly responsible for maintaining and updating health benefits, pension, management benefits fund, deferred compensation as well as other NYC benefits. Additional responsibilities include serving as liaison to the New York City Law Department in filing and maintaining all Workers' Compensation claims.

It is also this department's responsibility to work directly with the NYC Office of Safety and Health to maintain a safe and healthy work environment for all employees.

Other direct responsibilities include serving as Employee Assistance Programs officer in referring employees with needs to counseling programs. The number of employees entering the Employee Assistance Programs and details concerning the nature of their needs remains confidential to ensure a level of trust is maintained that will encourage employees to feel comfortable about seeking help. All employees are urged to avail themselves of this benefit.

The Director of Personnel also holds the title of Equal Employment Opportunity Commission officer responsible for ensuring all referable laws are enforced. Investigations are conducted as required when complaints are filed.

It is the standard operating procedure of this agency that a fact-finding inquiry be conducted to determine what action, if any, should be taken in cases of harassment charges being filed. That inquiry will be conducted with the Director of Personnel and General Counsel serving as the panel.

The responsibility of discipline also falls to the Director of Personnel. The Personnel Guidelines must be updated as needed and all rules and regulations are enforced through the disciplinary process by the Director of Personnel. Investigations and hearings are conducted as required. Disciplinary hearings are conducted and actions taken under direction of the Commissioners of Elections. Determinations of such hearings varied from termination to various levels of probation and/or suspensions.

The job responsibilities also require substantial travel around the city to visit all offices and voting machine facilities as needed, at times with only a moment's notice.

The Director of Personnel also serves as the Records Management Officer for the agency. These responsibilities include monitoring the storage and disposal of all records in all media and responding to Freedom of Information requests.

# Finance



**JOHN WARD**  
Finance Officer

The work of the Finance Office, probably uniquely, is not really keyed to the Election cycle. Rather than having a "busy season" the Finance Office does a fairly consistent volume of work at every point in the year.

The Finance Office is divided into two sections:

## 1) PERSONNEL SERVICES (PS)

Personnel services are the payroll section. Payroll data is accumulated from the five borough offices, Voting machine facilities and the General office and is processed. There is a constant liaison between the various responsibility centers. There is also a natural overlap between the work of the P.S. section and the board's Personnel Department.

## 2) OTHER THAN PERSONNEL SERVICES (OTPS)

The O.T.P.S. section is the accounts payable section. Approved bills are accumulated from the various managers and paid. Finance works closely with the Agency Purchasing Department.

The Finance Department prepares reports and handles special projects as required. Finance plays a key role in all aspects of the Agency's budgeting process. In addition the Finance Office drafts letters and testimony for Management for those subjects that fall within our sphere of responsibility. The Finance Officer accompanies and assists management when testimony relative to fiscal matters is given.

The Finance Department provides the fiscal infrastructure for the smooth running of all day to day operations of the New York City Board of Elections.

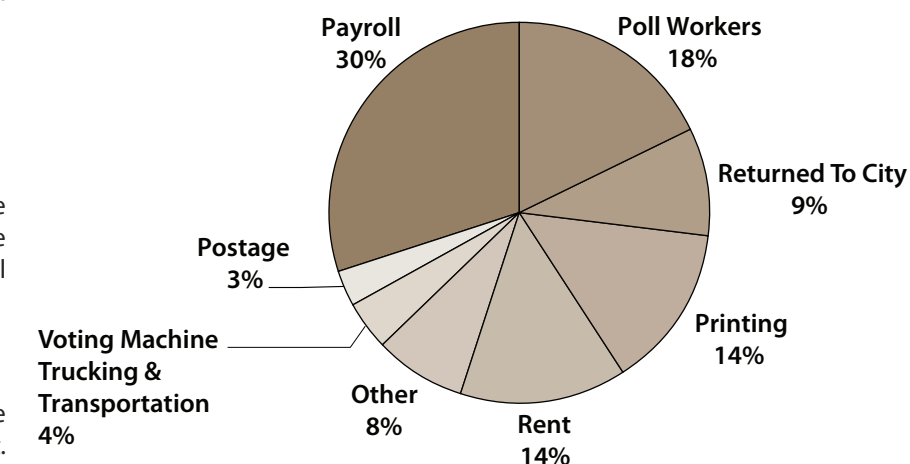
## FY09 BUDGET HIGHLIGHT

This was a two event year. We had a September Primary and the November Presidential General Election, In addition we had a borough wide Special Election for the Bronx borough President in April 2009.

## FINANCIAL ANALYSIS FOR FISCAL YEAR 2009

	FY09 Adopted Budget	FY09 Final Budget
Personnel Services	19,800,036	26,800,036
Other Than Personnel Services	69,365,767	57,382,332
Total	89,165,803	84,182,368
Staff	351	

## BOARD OF ELECTIONS BUDGET BREAKDOWN FOR FISCAL YEAR 2009



# General Counsel



**STEVEN H. RICHMAN**  
General Counsel

The General Counsel provides legal counsel to the Commissioners of Elections and to the Board's executive staff on a wide range of matters relating to ballot access, the conduct of elections, and governmental agency administration.

## ADMINISTRATION

General Counsel drafts for consideration and adoption by the Commissioners all election calendars. General Counsel drafts for consideration and adoption by the Commissioners proposed Rules for Designating and Independent Nominating Petitions. In addition, General Counsel recommends to the Commissioners revisions of formal Board policies and procedures to insure legal compliance.

## LEGISLATIVE ACTIVITIES

General Counsel regularly monitors all legislative and judicial action that impacts election administration and advises the Commissioners on all legal developments affecting the administration of elections, including statutory compliance with State and Federal mandates related to the Voting Rights Act and the Help America Vote Act and related judicial directives. In addition, General Counsel drafts for consideration by the Commissioners the Board's annual proposal of legislative amendments for presentation to, and consideration by, the State legislative leaders.

## COMPLIANCE ACTIVITIES

General Counsel advises the Commissioners on all elements of the Board's compliance with the federal Voting Rights Act. Any change in election administration affecting voter election participation in a covered county\* must be approved in advance by the Department of Justice. To ensure compliance with the Voting Rights Act, General Counsel oversees the preparation and filing of all applications necessary for filing with the Civil Rights Division of the United States Department of Justice and General Counsel advises the Commissioners accordingly.

## BALLOT ACCESS

In addition to drafting the proposed Rules for Designating and Independent Nominating Petitions, General Counsel oversees all aspects of the process for candidate filing and Board review of nomination petitions. In addition to overseeing this Board function, General Counsel acts as liaison with the Board's trial counsel in connection with any judicial review of related Board function.

## LITIGATION

General Counsel acts as the Board's liaison with the Board's trial counsel on all matters of litigation involving the Board of Elections, its operations, and personnel.

\* In New York City the counties covered by the Voting Rights Act are New York County, Kings County, Queens County, and Bronx County.

# Management Information Systems Department (MIS)



**STEVEN FERGUSON**  
Director, MIS

## OVERVIEW

The Management Information Systems Department (MIS) operates the Board's Data Center and Help Desk. MIS also maintains and supports mission critical applications such as System Elect (S-Elect), the framework for the Candidate Processing and Election Support System (CPESS) and the Poll Worker Requirements and Support System (PWRSS), and Maptitude for district maps as well as the standard office applications. In addition, the Department is responsible for the Board's Phone Bank Interactive Voice Response System (IVR).

## DATA CENTER

MIS maintains system backups on mass storage devices and DLT tape cartridges for backup of all files. Updating and correcting of voter information in the AVID voter registration system is performed on an ongoing basis. The department has provided necessary programming and training internally.

The MIS department continues the use of the Help Desk System. This system tracks all incidents and assigns them to appropriate staff for resolution and closure. Staff of other departments has been very satisfied with this system to resolve their MIS-related problems. The Board continues to work in conjunction with the Department of Information & Telecommunications Technology (DoITT) for web site hosting and to augment our phone bank during peak elections cycles. An IT infrastructure upgrade program is in progress.

## PHONE BANK

Our voter hotline, 866-VOTE-NYC, has been a great resource since its inception and continues to aid thousands of callers. The amount of calls increases steadily a few weeks prior to Election Day and surge on Election Day. The calls then begin to decline following Election Day. Inquiries concerning registration, accessibility and absentee applications are just a few of the questions posed to our call agents. A poll site locator feature added to provide information thru the IVR system continues to be very useful.

## INTERACTIVE VOICE RESPONSE SYSTEM

The Interactive Voice Response System (IVR) continues to aid callers during busy periods and after hours. The system is available 24 hours a day, 365 days a year and gives the public direct access to registration data in all four languages. Enhancements to the system, including text-to-speech were implemented in 2009. Further enhancements are planned for the coming year.

## PRINT SHOP

The Print Shop produces large volumes of regular weekly mail, Party Enrollment Books for all political parties, street finders, National Change of Address (NCOA) notices and counter copy. All of these were produced on schedule despite the demands of the 2009 election cycle. Poll list books for Special Elections are also produced in-house by the Print Shop. MIS and the Print Shop meet regularly with Xerox Business Systems (XBS), the equipment vendor.

## MIS DEVELOPMENT PROJECTS

The MIS department expanded the S-Elect and AVID systems in 2009. These included both changes to existing applications and new development. These changes were dictated by expanded user requirements and new election directives.

AVID III continued to develop additional features in response to HAVA and NYS requirements, and management's request to design and implement an absentee ballot fulfillment and tracking application (BATS). MIS evaluations of the proposed NYS Registration Document indicated an AVID work screen impact which may require redesign in 2010. Testing on the AVID III Poll Book production was done in parallel with selected 2009 election events in preparation for 2010 implementation.

S-Elect continued adding new features as dictated by additional user and election requirements. These requirements ranges from additional reports and data analysis request to modifications in the Facilities and Poll Worker applications. Addition of facility readiness features was in response to BMD requirements and preparation for expected requirements for the new voting machines.

S-Elect was migrated from Visual Basic to C#, a more advanced programming system. This change upgraded the platform for S-Elect and made it consistent with other major systems such as AVID. This effort included extensive testing. All the major election events in 2009 were successfully run under the new version.

In 2009 the requirements and preliminary design features were developed for three major new applications Fleet II, Call Center II and the Document Control System. Critical modules of these applications will be implemented in the first half of 2010 with other features secluded for implementation in 2011. In a number of these applications the final design is dependent on the final specification of the new voting machines.

Hardware & Systems Software – MIS continued version upgrades of all its servers and storage arrays. Further roll-out of desktop workstations with Windows XP operating systems and widescreen monitors was performed. We also continued to improve our Exchange (Email) and security systems. Further upgrading of our network configurations and security were also part of our infrastructure upgrading.

The Disaster Recovery (DR) system (equipment and software) has been operational and is ready to be moved to the new permanent DR Site in Queens scheduled for 2010. All network facilities and interconnectivity transfers at The DR site have been completed.

# Phone Bank



**DANIEL LAVELLE**  
Phone Bank Supervisor

The Board of Elections' Phone Bank is an inbound call center with two locations. The Manhattan phone bank has a staff of up to 40 operators and the Staten Island phone bank has up to 20 operators to handle calls citywide during peak election season. The phone bank is operational with a reduced staff throughout the year to accommodate any voter requests and is staffed with members who can handle calls in English, Spanish, Cantonese, Mandarin and Korean. These operators handle a diverse range of calls, ranging from inquiries concerning a voter's registration status or poll site location to information concerning their elected officials. The phone bank system has an interactive component that allows members of the public to retrieve information after hours and on weekends. As the first line of communication between the Board and the voting public, the phone bank staff receives training on various aspects of Board operations to ensure that they are able to accommodate the voters' requests for information.

**The phone bank operators answered 93,763 calls from the public in 2009.**

## 2009 ELECTION DAY CALLS TO PHONE BANK

Primary Election	General Election
3,084	3,945



# General Elections Turnout

	Registered Voters	Voter Turnout	Percentage
1969 Mayor	3,026,745	2,458,203	81%
1970 Governor	3,046,373	2,290,020	75%
1971	3,068,015	Non-citywide	Non-citywide
1972 President	3,067,749	2,267,237	73%
1973 Mayor	3,565,147	1,790,053	50%
1974 Governor	3,161,656	1,822,567	58%
1975	2,912,126	Non-citywide	Non-citywide
1976 President	2,720,105	2,143,345	79%
1977 Mayor	2,887,530	1,486,536	51%
1978 Governor	2,714,331	1,526,574	56%
1979	2,237,193	Non-citywide	Non-citywide
1980 President	2,525,464	2,013,164	80%
1981 Mayor	2,345,001	1,305,368	56%
1982 Governor	2,544,394	1,685,956	66%
1983	2,489,526	Non-citywide	Non-citywide
1984 President	3,014,459	2,340,181	78%
1985 Mayor	2,842,517	1,170,904	41%
1986 Governor	2,614,470	1,288,842	49%
1987	2,514,605	Non-citywide	Non-citywide
1988 President	3,017,013	2,126,418	70%
1989 Mayor	3,183,741	1,899,845	60%
1990 Governor	3,052,259	1,159,134	38%
1991	2,962,958	Non-citywide	Non-citywide
1992 President	3,360,568	2,211,473	66%
1993 Mayor	3,301,683	1,898,437	57%
1994 Governor	3,246,464	1,576,160	48%
1995	3,151,812	Non-citywide	Non-citywide
1996 President	3,532,348	2,028,013	57%
1997 Mayor	3,514,974	1,409,347	40%
1998 Governor	3,415,858	1,537,010	45%
1999	3,426,017	Non-citywide	Non-citywide
2000 President	3,672,265	2,282,944	62%
2001 Mayor	3,715,022	1,520,443	41%
2002 Governor	3,832,437	1,415,095	37%
2003	3,667,266	Non-citywide	Non-citywide
2004 President	4,002,497	2,459,652	61%
2005 Mayor	3,944,831	1,315,360	33%
2006 Governor	3,799,353	1,244,874	33%
2007	3,856,342	Non-citywide	Non-citywide
2008	4,119,923	2,641,970	64%
2009 Mayor	4,095,561	1,178,057	29%

# Active Registration Totals by County

Totals as of: 11/1/2009

County	Democratic	Republican	Independence	Conservative	Working Families	Other	Blank	Total
New York	664,194	97,375	26,265	1,689	1,552	1,704	166,002	958,781
Bronx	479,456	42,763	11,751	2,926	2,283	298	83,882	623,359
Kings	889,073	115,083	24,947	4,146	4,014	1,906	201,036	1,240,205
Queens	664,415	132,303	23,073	5,681	2,623	1,044	190,529	1,019,668
Richmond	116,671	75,119	7,370	3,981	897	230	49,280	253,548
CityWide	2,813,809	462,643	93,406	18,423	11,369	5,181	690,729	4,095,561



**PREPARED UNDER THE DIRECTION OF THE  
COMMISSIONERS OF ELECTIONS**

**Frederic M. Umane**, PRESIDENT

**Julie Dent**, SECRETARY

**James J. Sampel**

**Juan Carlos “JC” Polanco**

**Nancy Mottola-Schacher**

**Gregory C. Soumas**

**Naomi Barrera**

**John Peter “JP” Sipp**

**Judith Stupp**

**Jose Miguel Araujo**